

# FinIQ Products Enrich-Series



FinIQ is a market leader in the financial products distribution space. Over the past decade, we have automated product sales via branch network at over 80 banks. Besides distribution, we also take care of your post-trade transaction processing needs. We are a multi-asset platform equipped with in-depth pricing analytics, robust order management, comprehensive customer correspondence, compliance, life-cycle management and straight-through-processing. Our FXD-Connect and EQ-Connect platforms provide real-time trading connectivity to world's leading market makers.

Now Introducing

# FinIQ Products Concierge-Series

*"Dynamic Solutions for Dynamic Situations"*

Some systems automate cash counter, some automate bell desk, some take care of travel desk, some handle room service, but very few can handle the Concierge function. The Concierge Problem is one of the oldest unsolved problems in the financial planning space, as there are too many moving parts.

We, at FinIQ, took an innovative approach to solve this. Our Concierge-Series is fully framework based, allows configuration of diverse products and workflows making use of a foundry of business objects that are readily available. Compliance rules, Recommendation schemes, Portfolio constraints, Systematic investment plans, Revenue & Spread engine as well as structured products mixed of callable, target, range, trigger, multiple underlying, etc. can all be designed and deployed within no time and requiring no software change.



It is not the strongest of the species that survive, nor the most intelligent, but the one most responsive to change  
- Charles Darwin



## Innovative Solutions for Changing Marketplace



- 1697 Dojima Rice exchange was formed
- 1776 Adam Smith and start of modern banking
- 1848 Chicago Board of Trade was formed in the USA
- 1933 Glass Stegal act separated I-bank from C-bank
- 1970 Financial Innovation and rise of Modern OTC Derivatives
- 1972 Chicago Mercantile Exchange traded first financial futures
- 1981 First swap agreement was executed by Salomon Brothers
- 1997 Black, Scholes & Merton got Nobel prize for option pricing equation
- 2003 FinIQ launched first branch wealth structuring platform in Singapore
- 2012 Swap Execution Facility mandated for OTC derivatives by Dodd Frank
- 2013 FinIQ launched 1st multi-issuer Equities structured products RM platform
- 2014 FinIQ launched 1st multi-dealer FX derivatives aggregator for branches
- 2015 FinIQ introduced first truly user-configurable financial product designer
- 2020 Clients devise their own products and source liquidity from best sources
- 2025 **Best pricing sources are not just banks, clients too make market**

Evolving from Merchant-Banking... Retail-Banking... Private-Banking... Investment-Banking...  
now... Tech-Banking

Implement your FinIQ Sales & Distribution Platform for Structured, Investment & Forex Products and be a Tech Bank

## MARKET LEADERS SINCE 2003

PROUD OF OUR 12 YEAR TRACK RECORD, HAVING SUCCESSFULLY WORKED WITH 30 BANKING GROUPS IN ASIA-PAC AND ELECTRONICALLY TRANSACTED TRILLIONS OF DOLLARS OF WEALTH MANAGEMENT PRODUCTS

**ONLY** MULTI-DEALER OTC & NOTES WEALTH PLATFORM LIVE TODAY THAT SUPPORTS BOTH FX (INCLUDING EXOTICS & STRATEGIES) AND EQUITY (INCLUDING BASKETS)

**ONLY** MULTI-ISSUER EQUITY STRUCTURED PRODUCT WEALTH PLATFORM LIVE TODAY THAT IS NEITHER BANK-OWNED NOR BANK-BUILT

**ONLY** PRICING, ORDER MANAGEMENT & EXECUTION MANAGEMENT PLATFORM IN ASIA THAT IS TRULY DESIGNED FOR & USED BY SALES USERS

**ONLY** DISTRIBUTION SOLUTION THAT AUTOMATES DERIVATIVES, FX SPOT, FX FORWARDS, SHARES, MUTUAL FUNDS, IRS, STRUCTURED PRODUCTS AND BONDS, ALL IN ONE SYSTEM

### LIQUID PRODUCTS WITH INTERNAL PRICING

ROBUST VOLSURF AND INDUSTRY STANDARD PRICING MODELS, WORKS WELL FOR VANILLA-BASED AND CASH PRODUCTS

### LIQUID PRODUCTS WITH EXTERNAL PRICING

FIX-BASED TRADING LINKS TO LEADING MARKET MAKERS, GOOD FOR EXOTICS, BASKETS & ILLIQUID UNDERLYINGS

### SEMI-LIQUID PRODUCTS WITH SLOW-MOVING PRICES

GOOD FOR PRODUCTS LIKE BONDS, FUNDS, STRUCTURED DEPOSITS WHERE ORDERS ARE POOLED AND THEN EXECUTED

### ILLIQUID PRODUCTS WITH NEED-BASED PRICING

GOOD FOR LONG TERM STRUCTURED NOTES AND DEPOSITS WHERE ORDERS ARE POOLED IN AN IPO STYLE

**WE ARE A CROSS-ASSET TRADING SYSTEM TRULY DESIGNED FOR DISTRIBUTION (BRANCH, CORPORATE SALES, PRIVATE WEALTH, E-COMMERCE AND M-COMMERCE)**